

4



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

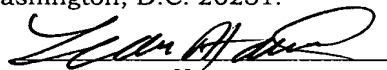
In re Application of: David G. Way
Serial No.: 09/923,862
Filing Date: August 6, 2001
Title: TUNABLE CHANNEL SPACING FOR
WAVELENGTH DIVISION MULTIPLEXING
WDM) TRANSPORT SYSTEM

Honorable Assistant Commissioner
for Patents

Washington, D.C. 20231

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.


Name

10-4-01
Date of Signature

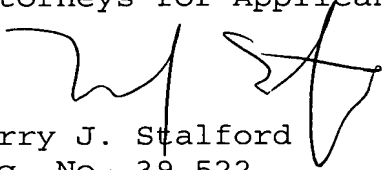
Express Mail No. EL501000629US

INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. A copy of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

Applicant believes that no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,
BAKER BOTTS L.L.P.
Attorneys for Applicant


Terry J. Stalford
Reg. No. 39,522

2001 Ross Avenue, Suite 600
Dallas, Texas 75201-2980
(214) 953-6477

Date: 10/4/01

CORRESPONDENCE ADDRESS:

Baker Botts L.L.P.
2001 Ross Avenue, Suite 600
Dallas, TX 75201-2980

PTO-1449

Application No.

09/923,862

Applicant(s)

David G. Way

Docket Number

064731.0254

Group Art Unit

Filing Date

August 6, 2001

**Information Disclosure Citation
in an Application**

OCT 04 2001

U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A	5,202,782	04/13/93	Nakamura et al.	359	152	01/14/91
B	5,404,240	04/04/95	Nishio et al.	359	123	02/14/92
C	5,448,390	09/05/95	Tsuchiya et al.	359	132	01/13/94
D	5,566,018	10/15/96	Lee et al.	359	341	06/26/95
E	5,841,558	11/24/98	Tamura et al.	359	124	01/22/97
F	5,943,149	08/24/99	Cearns et al.	359	124	02/18/98
G	5,949,563	09/07/99	Takada	359	124	07/18/97
H	6,014,237	01/11/00	Abeles et al.	359	124	11/03/98
I						
J						
K						
L						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
M							
N							
O							
P							
Q							

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
R	Pieter W. Hooijmans, "Coherent Optical System Design," <i>John Wiley & Sons Ltd.</i> , 1994, 412 pages.	1994
S	Tayebati et al., "Widely Tunable Fabry—Perot Filter Using Ga(Al)As—AlO _x Deformable Mirrors," <i>IEEE Photonics Technology Letters</i> , Vol. 10, No. 3, March 1998, 4 pages.	March 1998
T	Tayebati et al., "Microelectromechanical tunable filter with stable half symmetric cavity," <i>Electronics Letters</i> , Vol. 34, No. 20, October 1, 1998, 5 pages.	October 1, 1998

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE

PTO-1449 <div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block; text-align: center;"> PIPE Information Disclosure Citation In an Application OCT 04 2001 </div>		Application No. 09/923,862 Docket Number 064731.0254		Applicant(s) David G. Way Group Art Unit Filing Date August 6, 2001			
U.S. PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						
	J						
	K						
	L						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
	M						
	N						
	O						
	P						
	Q						
		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)					DATE
	R	Ghatak et al., "Introduction to Fiber Optics," <i>Cambridge University Press</i> 1998, 577 pages.					1998
	S	Gerard Lachs, "Fiber Optic Communications Systems, Analysis, and Enhancements," <i>McGraw-Hill</i> , 1998, 401 pages.					1998
	T	Harold Kolimbris, "Digital Communications Systems With Satellite and Fiber Optics Applications," <i>Prentice-Hall, Inc.</i> , 2000, 481 pages.					2000
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							

U.S. PATENT AND TRADEMARK OFFICE